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CENTRAL FAX CENTER

**DEC 19 2007**

# CERTIFICATE OF FAX TRANSMISSION

Transmission Date: **19 December 2007** Docket: **1041-006**

Transmission #: **1** of Total Transmissions: **1**

Pages in this Transmission: **8** of Total Pages Transmitted: **8**

I hereby certify that the following correspondence is being facsimile transmitted, via one or more transmissions as described above, to the attention of the Director of the US Patent and Trademark Office on the above date via the following facsimile number: 571-273-8300.

Information Disclosure Statement: (4 sheets)

Form PTO-1449 (1 sheet)

Fee Transmittal Form (PTO/SB/17) (1 sheet)

Credit Card Payment Form (PTO-2038) (1 sheet)

Application Number: 10/577,823  
Confirmation No.: 2840  
Filing Date: 28 April 2006  
Document Submission Date: 19 December 2007

Art Unit: 2169  
Examiner: Hoang, Son T.  
Inventor: Trainum, Michael W.  
Docket: 1041-006

19 Dec 2007

Date

Kelly B. Smoker

Name of Certifier

*Kelly B. Smoker*

Signature of Certifier

PTO/SB/17 (12-04)

Approved for use through 07/31/2008. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/09/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **180.00****Complete if Known**

Application Number	10/577,823
Filing Date	28 April 2008
First Named Inventor	Trainum, Michael W.
Examiner Name	Hoang, Son T.
Art Unit	2189
Attorney Cocket No.	1041-006

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**METHOD OF PAYMENT (check all that apply)**
☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-2504 Deposit Account Name: Michael N. Haynes

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2088.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	0	25	0			
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP =	0	105	0			
HP = highest number of independent claims paid for, if greater than 3						

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =		0	130	0
(round up to a whole number) x				

**4. OTHER FEE(S)**

Non-English Specification: \$130 fee (no small entity discount)

Other: Submission of IDS

Fee Paid (\$)
0
180

**SUBMITTED BY**

Signature

*Michael N. Haynes*Registration No. 40,014  
(Attorney/Agent)

Telephone 434-972-9888

Name (Print/Type)

Michael N. Haynes

Date 19 Dec 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**RECEIVED  
CENTRAL FAX CENTER****PATENT****DEC 19 2007****Application 10/577,823****Attorney Docket 1041-006****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)**            **Tralnum, Michael W.**  
**Application**            **10/577,823**  
**Confirmation**          **2840**  
**Filed**                    **04/28/2006**  
**Application Title**      **System and Method for Managing Documents**  
**Art Unit**                **2169**  
**Latest Examiner**      **Hoang, Son T.**

**INFORMATION DISCLOSURE STATEMENT (IDS)****Mail Stop Amendment**

Commissioner for Patents

United States Patent and Trademark Office (USPTO)

P.O. Box 1450

Alexandria, VA 22313-1450

12/20/2007 PCHOMP 00000017 10577823

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180.00 OP

Sir:

Pursuant to 37 CFR 1.56, 1.97, and 1.98, the attention of the USPTO is hereby directed to the attached listing of documents and/or the attached Declaration. For each document listed under "Foreign Patent Documents" or "Non Patent Literature Documents", one copy of that document is attached.

It is respectfully requested that the listed documents:

- (1) be expressly considered during the prosecution of this application;
- (2) be made of record therein; and
- (3) appear among the "References Cited" on any patent to issue therefrom.

Page 1 of 4

**PATENT****Application 10/577,823****Attorney Docket 1041-006**

Although the information submitted herewith might be "material" to the USPTO's consideration of this application, this submission is not intended to be an admission that such information qualifies as "prior art" as to any claimed subject matter. The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made.

The following marked paragraphs are applicable in this IDS:

**Timing of this IDS:**

- ☐ A. This IDS is being filed per 37 CFR 1.97(b)
- ☐ (1): within 3 months of the U.S. filing date other than a CPA under 1.53(d);
  - ☐ (2): within 3 months of the date of entry of the national stage as set forth in 1.491 in an international application;
  - ☐ (3): before the mailing date of a first Office Action on the merits; **OR**
  - ☐ (4): before the mailing date of a first Office Action associated with a request for continued examination (RCE) under 1.114;

**AND**, thus, no certification or fee is required.

- ☒ B. This IDS is being filed per 37 CFR 1.97(c), **AFTER** the period specified in 37 CFR 1.97(b) [section A of this IDS], and **BEFORE**:
- (a) the mailing date of any Final Action under 1.113,
  - (b) a Notice of Allowance under 1.311, **OR**
  - (c) an action that otherwise closes prosecution,

**AND**, per 37 CFR 1.97(e), I hereby certify that:

- ☐ (1) each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this IDS ; **OR**

**PATENT****Application 10/577,823****Attorney Docket 1041-006**

- ☐ (2) no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this IDS

**OR**, per 37 CFR 1.97(c), this IDS is accompanied by:

- ☒ (3) payment of the fee under 37 CFR 1.17(p) to ensure consideration of the disclosed information.

- ☐ **C.** This IDS is being filed per 37 CFR 1.97(d), **AFTER** the period specified in 37 CFR 1.97(c) [section **B** of this IDS], and **ON** or **BEFORE** the payment of the Issue Fee;

**AND**, per 37 CFR 1.97(e), I hereby certify that:

- ☐ (1) each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this IDS; **OR**
- ☐ (2) no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this IDS

**AND**, per 37 CFR 1.97(d), this IDS is accompanied by:

- ☐ (3) payment of the fee under 37 CFR 1.17(p) to ensure consideration of the disclosed information.

**PATENT**

**Application 10/577,823**

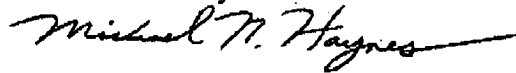
**Attorney Docket 1041-006**

**CONCLUSION**

The Office is hereby authorized to charge any additional fees or credit any overpayments under 37 C.F.R. 1.16 or 1.17 to Deposit Account No. 50-2504. The Examiner is invited to contact the undersigned at 434-972-9988 to discuss any matter regarding this application.

Respectfully submitted,

Michael Haynes PLC



Michael N. Haynes

Registration No. 40,014

Date: 19 December 2007

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